



APPLICATION FOR LAWYERS PROFESSIONAL LIABILITY INSURANCE



SUPPLEMENTAL APPLICATION

INTELLECTUAL PROPERTY

Name of Applicant (The Firm): _____

1. Attorney Experience - Intellectual Property

Please provide a breakdown of experience for those attorneys practicing law within the "Intellectual Property" area of practice.

Name of Attorney	Member of the Patent Bar Y / N	Number of years with experience in "Intellectual Property"	Percentage of Time spent in the "Intellectual Property" area of practice in billable hours	
			Most recent 12 months	Prior 12 months

2. Areas of Practice

Please provide a breakdown of the firm's intellectual property practice by showing the percentages that make up the entire percentage listed for "Intellectual Property" in the Area of Practice question in the application.

- | | |
|---|---------|
| A. Intellectual Property Litigation | _____ % |
| B. Patent Infringement Counseling | _____ % |
| C. Domestic Patent Prosecution | _____ % |
| D. Foreign Patent Prosecution | _____ % |
| E. Trademark Copyright Registration & Licensing | _____ % |
| F. Patent Searches & Filings | _____ % |
| G. Other (specify) _____ | _____ % |
| _____ | _____ % |
| _____ | _____ % |
| TOTAL 100 % | |

3. Industry Areas

Please provide a breakdown of the firm's intellectual property practice by indicating the percentages of last year's gross revenues derived from intellectual property matters within the following industries:

- | | |
|--|---------|
| A. Chemical | _____ % |
| B. Biotechnical | _____ % |
| C. Pharmaceutical | _____ % |
| D. Industrial | _____ % |
| E. Mechanical | _____ % |
| F. Computer (including hardware, software, semiconductors) | _____ % |
| G. Electric (other than computer) | _____ % |
| H. Other (specify) _____ | _____ % |
| _____ | _____ % |
| _____ | _____ % |
| TOTAL 100 % | |

4. Please answer Questions A through D only if Question reflects any % in Domestic or Foreign Patent Prosecution or Patent Searches & Filings.

A. Patent Searches

- (i) Is it the policy and practice of the firm to memorialize an agreement with a client to conduct a patent search? ☐ YES ☐ NO
- (ii) When undertaking a patent search, is it the policy and practice of the firm to set forth in an engagement letter the nature, scope and limitation of a proposed patent search? ☐ YES ☐ NO
- (iii) Does the firm engage the services of third parties to carry out patent searches? ☐ YES ☐ NO
- (iv) If yes, advise what percentage of the firm's patents practice and under what circumstances: _____%
- (v) Is it the policy and practice of the firm to memorialize the results of a patent search in a written opinion letter? ☐ YES ☐ NO
- (vi) When rendering an opinion letter as to the results of a patent search, is it the policy and practice of the firm to qualify the opinion by reference to the nature, scope and limitations of the search conducted?

B. Foreign Patents

- (i) For foreign patent filings, is the client made aware of the limited time frame for these filings and the additional requirements necessary to complete the filing? ☐ YES ☐ NO
- (ii) Are foreign patents handled by a separate unit? ☐ YES ☐ NO
- (iii) Does the firm engage associate counsel in their foreign patent work? ☐ YES ☐ NO
- (iv) If yes, what percentage of the firm's patent practice: _____%
- If greater than 10%, please explain steps taken to monitor work of the associate counsel:**

C. Payment Procedures

- (i) Is the firm's responsibility for payment of maintenance fees, taxes, or annuities clearly stated in the engagement letter? ☐ YES ☐ NO
- (ii) If the client is responsible, or authorization is necessary, are notices of required payments sent well in advance of the due dates? ☐ YES ☐ NO
- (iii) Is the system for sending such notices computerized? ☐ YES ☐ NO

D. What calendar or docketing system is employed by the firm to record, monitor, and comply with filing deadlines and other time limitations in connection with securing patents?

E. What policy and practice does the firm follow to ensure that clients are notified of all such deadlines and other time limitations?

Applicant
By _____ DATE _____
SIGNATURE OF OFFICER OR PARTNER OF FIRM PRINT NAME OF OFFICER OR PARTNER

Application must be signed by duly authorized proprietor, partner, member or officer of the firm.