



APPLICATION FOR LAWYERS PROFESSIONAL LIABILITY INSURANCE
DISCIPLINARY STATUS UPDATE SUPPLEMENT



This supplement is to be completed for any disciplinary matter previously reported and still pending when the last insurance application was submitted. Complete one supplement for each disciplinary matter being updated. Throughout the supplement the words "complaint", "grievance" and "matter" are used to indicate any disciplinary inquiry, complaint or proceeding for any reason including non-payment of dues. If more space is needed to fully answer any question, provide via attachment.

Firm Name:

1. Name lawyer(s) involved in the complaint:

2. Name of complainant:

	Client ☐ 3 rd Party ☐
	Client ☐ 3 rd Party ☐

3. What is the current status of the complaint? Open/Pending ☐ Dismissed with finding ☐ Dismissed without finding ☐

a. If dismissed, what if any, discipline or sanction was administered?

b. As a result of this matter, what changes have been made that will reduce the likelihood of similar complaints?

4. Attach copies of all correspondence between the governing body, the lawyer and the complainant since last insurance application was submitted including the final disposition papers if closed. Check here to verify attachment. ☐

5. Provide a current loss run from the insurance carrier handling the matter if not CNA. Check here to verify attachment. ☐

Signature of Firm Principal:

Print Name of Firm Principal:

Date