



APPLICATION FOR LAWYERS PROFESSIONAL LIABILITY INSURANCE CLIENT INFORMATION SUPPLEMENT



Firm Name:

Policy Number:

Effective Date (m/d/yyyy):

Name of Client	Nature of Client's Business	Specific Legal Services Rendered for Client *** See NOTE below	Is this a Current Client? Date of First Affiliation	% of Ownership Interest	Name of Attorney(s) rendering legal services, having ownership interest or holding an officer position	Officer Position Held	% of Firm's Total Gross Billings from Client – If over 25%, answer Question 1 below	Is this client Publicly Traded? If Yes, answer Question 2 below
			Yes ☐ No ☐					Yes ☐ No ☐
			Yes ☐ No ☐					Yes ☐ No ☐
			Yes ☐ No ☐					Yes ☐ No ☐
			Yes ☐ No ☐					Yes ☐ No ☐
			Yes ☐ No ☐					Yes ☐ No ☐

*** NOTE: When documenting legal services rendered, refer to the practice areas on the application as a guide. Noting "legal" as the services rendered is unacceptable.

1. Provide full details of the firm's conflict of interest system. Outline the impact the loss of this client would have on the firm. Explain if the gross billings were the result of one large case handled. Detail if the firm anticipates additional new clients over the next year or two thereby reducing the gross billings generated from any one client.

2. Do any services rendered by the Firm for publicly traded clients involve Sarbanes–Oxley Act (SOX) compliance requirements including but not limited to Securities, Accounting, Financial/Investment Services or Tax Work? Yes ☐ No ☐ If yes, explain steps taken to insure compliance via attachment.